

1 **High Sea-Floor Stress Induced by Extreme Hurricane Waves**

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7

8 **Abstract**

9 Strong surface waves and currents generated by major hurricanes can produce
10 extreme forces at the seabed that scour the seafloor and cause massive underwater
11 mudslides. Our understanding of these forces is poor due to lack of concurrent
12 measurements of waves and currents under these storms. Using unique observations
13 collected during the passage of a category-4 hurricane, Ivan, bottom stress due to currents
14 and waves over the outer continental shelf in the Gulf of Mexico was examined. During
15 the passage of Ivan, the bottom stress was highly correlated with the wind with a
16 maximum of about 40% of the wind stress. The bottom stress was dominated by the
17 wave-induced stresses, and exceeded critical levels at depths as large as 90 m.
18 Surprisingly, the bottom damaging stress persisted after the passage of Ivan for about a
19 week, and was modulated by near-inertial waves.

20 1. Introduction

21 Hurricanes can produce extreme forces at the ocean bottom, even on the outer
22 continental shelf. Bottom stresses resulting from near bottom flows are less noticeable
23 than surface winds and waves. These episodic wind events modify and control the near-
24 bottom environment through resuspension and transport of sediments, and redistribution
25 of organisms and chemicals. In the presence of strong surface waves, the combined
26 current-wave stress is considerably larger than the bottom stress associated only with
27 mean currents [e.g., *Grant et al.*, 1984; *Madsen et al.*, 1993; *Cacchione and Drake*,
28 1982]. Stresses generated by the surface waves over the sea floor of the continental shelf
29 have been often underestimated since wave heights under hurricanes were believed to
30 exceed 20 m or so only in the 100-year storm events. Recently, an extreme wave with a
31 crest-to-trough height of 28 m was measured under Hurricane Ivan and was not
32 considered a rogue wave height but rather a common wave height that can occur under a
33 major hurricane [*Wang et al.*, 2005]. Significant wave heights likely surpassed 21 m and
34 maximum individual wave heights may have exceeded 40 m near the eyewall [*Wang et*
35 *al.*, 2005].

36 The Gulf of Mexico (GOM) region provides nearly 30% of the United States oil
37 supply and 20% of its natural gas. Hurricanes are major threats to the integrity of offshore
38 operations over the GOM outer continental shelf. Significant damage can occur to
39 underwater pipelines and to other underwater infrastructures such as oil and gas platforms
40 [*Cruz and Krausmann*, 2008]. There are reportedly at least 50,000 km of pipeline on the
41 seafloor of the GOM [MMS, 2006]. Damage to pipelines, which often is difficult to
42 detect unless the damage is catastrophic, can be more costly to repair than damage to the

43 superstructures on platforms. Major oil leaks from damaged pipelines could have
44 irreversible impacts to the ocean environment. Improved understanding and accurate
45 prediction of hurricane-induced bottom stresses on the seafloor area along hurricane
46 paths can enable better engineering designs to reduce pipeline failures. However,
47 understanding of hurricane-induced extreme bottom stresses is hampered by the lack of
48 direct measurements of near-bottom flow generated by winds and waves under intense
49 storms. Deployments of large numbers of instruments along hurricane tracks are not
50 practically feasible. There are only a few reported wave and current measurements
51 directly under the paths of historical hurricanes. For example, elevated bottom stress and
52 sediment resuspension over the Mid-Atlantic-Bight shelf off the east coast of US were
53 found during the passage of Hurricane Edouard in 1996 [Dickey *et al.*, 1998; Chang *et*
54 *al.*, 2001]. The eye of the hurricane passed within 110 km of the mooring site when the
55 maximum wind speed and wave height were about 20 m s^{-1} and 9 m, respectively, and the
56 resuspension of sediments was up to 30 m above the seabed. The maximum bottom stress
57 based on a current-wave interaction model [Christoffersen and Jonsson, 1985] was about
58 0.35 N m^{-2} .

59 Measurements of bottom pressures and full water-column current profiles were
60 fortuitously made under the eye of Hurricane Ivan in 2004 by the Naval Research
61 Laboratory (NRL) as part of the Slope to Shelf Energetics and Exchange Dynamics
62 (SEED) project [Teague *et al.*, 2007]. Six current-profiler moorings along with wave-tide
63 gauges were deployed on the continental shelf at water depths ranging between 60 and 90
64 m near 29.4°N , 88°W (Figure 1). Ivan passed over the SEED mooring array on
65 September 16 around 0000 UTC as a category-4 storm before making landfall near Gulf

66 Shores, Alabama [Teague *et al.*, 2007; Powell *et al.*, 1998]. The measurements indicated
67 that significant wave heights exceeded 20 m; near-bottom wave-induced oscillatory
68 currents were over 2 m s^{-1} [Wang *et al.*, 2005]; and bottom scours exceeded 0.3 m at the
69 60 m isobath [Teague *et al.*, 2007]. Extreme waves, currents, and scours as observed
70 during Ivan are likely produced by other hurricanes.

71 The main objective of this paper is to quantify bottom stress over the continental
72 shelf during the passage of Ivan. The seabed frictional coefficients and bottom stresses
73 were evaluated from the observed near-bottom currents and wave-orbital velocities in
74 combination with the wave-current boundary layer model [e.g., Christoffersen and
75 Jonsson, 1985]. This study focuses on the growing and relaxation stages of the hurricane.
76 During the growing stage of Ivan (between September 10 and 17, 2004), the coastal
77 ocean was directly forced by accelerating winds from 10 to 50 m s^{-1} , and during the
78 relaxation stage (between September 18 and 25, 2004), surface winds became weak but
79 the circulation was dominated by wind-generated near-inertial currents [Mitchell *et al.*,
80 2005]. The correlation of the bottom stress with the surface wind stress is also
81 investigated.

82

83 **2. Methods**

84 A number of models have been formulated to evaluate wave-induced bottom stress
85 [e.g., Grant and Madsen, 1979; Trowbridge and Madsen, 1984; Christoffersen and
86 Jonsson, 1985; Glenn and Grant, 1987]. Some advanced models [Trowbridge and
87 Madsen, 1984; Glenn and Grant, 1987] address complex seabed sediment conditions
88 such as armoring and moveable beds. In the following we used a simple fixed flat-bed

89 model described in *Christoffersen and Jonsson* [1985] (hereinafter CJ85), mainly because
 90 the exact nature of the seabed sediment conditions were not known during the passage of
 91 Ivan. CJ85 is similar to the most commonly-used model [*Grant and Madsen*, 1979], but
 92 utilizes an iterative approach for computing frictional coefficients based on bottom
 93 currents, wave statistics, and sediment grain sizes. CJ85 was used to evaluate bottom
 94 stress and sediment resuspension over the Mid-Atlantic-Bight shelf off the east coast of
 95 United States in the wake of Hurricane Edouard and Hortense in 1996 [*Dickey et al.*,
 96 1998; *Chang et al.*, 2001]. The selection of a particular model would not make a
 97 significant difference in the general conclusions presented here. However, the estimated
 98 bottom stress during the storm should be treated as a lower bound, since the bottom could
 99 be a movable bed, which tends to generate a higher-roughness scale than what was used
 100 in the fixed-bed representation of the critical bottom stress [e.g., *Madsen et al.*, 1993].
 101 Comparison of several wave-current boundary-layer models are given in [*Soulsby et al.*,
 102 1993].

103 The iterative procedure described in CJ85 computes the wave friction factor (f_w),
 104 the current friction factor (f_c), and then the combined current-wave stress (τ_{cw}) on the
 105 sea bed, where $\tau_{cw} = 0.5 f_w \rho u_w^2 m$; ρ is the density of sea water; m describes the relation
 106 between the current and the wave, and is a function of f_w , f_c , wave orbital velocity (u_w),
 107 current velocity (u_c), and angle between the current and the wave; f_c depends on the
 108 Nikuradse roughness (k_N) [*Nikuradse*, 1933], the apparent roughness (k_A), and the height
 109 of the current boundary layer (h). The bottom stress due to the mean current
 110 is: $\tau_c = 0.5 f_c \rho u_c^2$. The combined current-wave-dissipation rate (ε_{cw}) and the current-
 111 dissipation rate (ε_c) were estimated using the following relationships:

112 $\varepsilon_{cw} = (\tau_{cw} / \rho)^{3/2} / (\kappa z)$ and $\varepsilon_c = (\tau_c / \rho)^{3/2} / (\kappa z)$, where z is the height from the bottom,
113 and κ is the von Karman constant (0.4).

114 The near-bottom flow field was composed of a background mesoscale component
115 driven by a basin-scale wind stress curl in addition to low-frequency currents ranging
116 from inertial to sub-inertial flows [Teague *et al.*, 2007]. The most-significant bottom
117 currents were directly wind driven with superimposed high-frequency oscillatory currents
118 driven by surface waves and swells. Bottom stresses and dissipation rates were computed
119 from background currents and wave-orbital velocities at the seafloor. The bottom wave-
120 orbital velocities were estimated from 512-s burst-sampling records of wave-induced
121 dynamic pressure measurements at 0.25 m above the bottom [Wang *et al.*, 2005] based on
122 linear wave theory. Ocean currents at 0.25 m above the bottom were approximated by
123 extrapolating near-bottom velocities from acoustic Doppler current profilers (ADCPs)
124 while assuming the constant stress layer with a logarithmic velocity profile (i.e., “law of
125 the wall”) extends from the seabed to the depth of the first measured velocity above the
126 ADCP. Typically the thickness of the wall boundary layer is about 10% of the thickness
127 of the bottom mixed layer. During the passage of Hurricane Ivan, the current structure
128 was found to be frictionally driven with overlapping surface and bottom boundary layers
129 [Mitchell *et al.*, 2005] suggesting that the entire water column over the shelf was either
130 weakly stratified or well mixed. Therefore, a 6- to 9-m-thick wall boundary layer is
131 expected near the vicinity of the sea floor. The moorings rested on a sandy seabed with
132 grain sizes varying from 0.06 mm to 1 mm with a median size of about 0.3 mm [Sawyer
133 *et al.*, 2001]; therefore k_v was approximated to be about 0.3 mm. The alignment angles
134 between the currents and wave orbital velocities were not known, and therefore, the

135 currents and waves were assumed to be in the same direction and the alignment angle
 136 was set to zero for the computations. Since wave statistics were only sampled every 8
 137 hours, estimates of τ_{cw} and ε_{cw} were limited to 3 times per day. The bottom currents
 138 from the ADCP were sampled at 15-minute intervals, and therefore τ_c and ε_c were
 139 computed at a higher sampling rate by interpolating 8-h estimates of f_c into the ADCP
 140 sampling rate. By following *Madsen et al.* [1993], the wave-orbital velocity was
 141 approximated as the root-mean-square amplitude of a sinusoidal wave, where $u_w = \sqrt{2}\sigma_u$,
 142 and σ_u is the standard deviation of orbital-velocity fluctuations based on the 512-s burst-
 143 sampling record of pressure at 0.25 m above the bottom [*Wang et al.*, 2005]. The surface
 144 wind field over mooring locations was constructed by combining NDBC buoy winds with
 145 Ivan post storm wind analysis products [*Wang et al.*, 2005; *Powell et al.*, 1998].

146

147 **3. Observations**

148 A dramatic increase in bottom stress and dissipation rate was found at all six
 149 mooring locations during the passage of Ivan. At the 60-m isobath, the current-wave
 150 stress (τ_{cw}) was enhanced by two orders of magnitude (Figure 2c) and the current-wave
 151 dissipation rate (ε_{cw}) was enhanced two to three orders of magnitude (Figure 2d) as the
 152 wind speed accelerated from 10 m s^{-1} to 50 m s^{-1} , during which wave-orbital bottom
 153 velocity intensified from $O(\text{mm s}^{-1})$ to $O(1 \text{ m s}^{-1})$ (Figure 2a, b). At the 90-m isobath,
 154 wave-orbital velocities were relatively weak, background currents were strong (Figure
 155 2g), and the current-wave stress was a factor of two smaller than that at the 60-m isobath
 156 (Figure 2c,h). Peak bottom stresses at moorings M1 to M6 were 0.47, 0.58, 0.84, 0.62,
 157 0.48, and 0.34 N m^{-2} , respectively. Some of the differences in current-wave stresses at

158 different mooring locations were related to the undersampling of wave-orbital velocities
 159 at an 8-h interval and depth dependence of wave statistics. Out of the six moorings, M3,
 160 which was located to the right of Ivan's path (Figure 1), had the strongest bottom stress,
 161 largest wave-orbital velocity (Figure 2b,c) and the largest surface-wave height of about
 162 28 m [Wang *et al.*, 2005]. There was an increase in background stress levels following
 163 the hurricane; the current stress (τ_c), averaged over the mooring array, prior to Ivan was
 164 $7 \times 10^{-3} \text{ N m}^{-2}$ [1×10^{-6} , 4×10^{-2}] while that stress after Ivan was $30 \times 10^{-3} \text{ N m}^{-2}$ [20×10^{-6} ,
 165 27×10^{-2}], where minimum and maximum stresses are given in the brackets.

166 The ADCP echo intensity (Figure 2e,j) reflects the concentration of particles in the
 167 water column [Deines, 1999]. Therefore the timing and the duration of the resuspension
 168 of sediments (during which $\tau_{cw} >$ the critical stress) can be identified from the echo
 169 intensity. The observed critical stress is consistent with the spiking of echo intensity. The
 170 resuspension occurred as the wind speed exceeded $\sim 15 \text{ m s}^{-1}$ and lasted for two days
 171 over both 60-m and 90-m isobaths. After the passage of Ivan, the resuspension continued
 172 at the 60-m isobath, and was modulated by near-inertial waves (Figure 2e), but it was
 173 considerably weaker at the 90-m isobath (Figure 2j). The intensity of the ADCP echo was
 174 enhanced up to 25 m above the instrument, implying that sediments were resuspended to
 175 about 25 m above the seabed (Figure 2e,j).

176 The relationship between bottom stress (τ_{cw} , τ_c) and wind speed (U_{10}) during the
 177 growing stage of the hurricane was studied by averaging bottom stresses into appropriate
 178 bins as a function of the wind speed (Figure 3). The impact of surface waves on the
 179 bottom stress was not important for winds less than 8 m s^{-1} . There was a rapid build up
 180 in τ_{cw} as U_{10} increased from 10 m s^{-1} to 15 m s^{-1} . The growth of τ_{cw} slowed down for $U_{10} >$

181 20 m s^{-1} , and τ_{cw} was approximately proportional to U_{10}^2 . τ_c was also proportional to U_{10}^2
 182 for most of the wind record. On average τ_c was a factor of 4 smaller than τ_{cw} based on
 183 the wave-orbital velocity, $u_w = \sqrt{2}\sigma_u$ (Figure 3). The current-wave stress can be
 184 approximated as $\tau_{cw} \approx 4 \times 10^{-4} U_{10}^2$ for winds higher than 15 m s^{-1} , which in turn implies
 185 that $\tau_{cw} \approx C \tau_s$, where τ_s is the surface wind stress, and the proportionality constant,
 186 $C = 4 \times 10^{-4} / \rho_{air} C_D^S$; ρ_{air} is the density of air, and C_D^S is the surface drag coefficient. For
 187 $C_D^S = (1.5 - 2.5) \times 10^{-3}$ (13), C is $\sim 0.15 - 0.2$. Sensitivity of τ_{cw} to the strength of the orbital
 188 velocity was examined by choosing the wave-orbital velocity as significant wave-orbital
 189 velocity (u_{ws}), where, $u_{ws} = 2\sigma_u$, and the wave-orbital velocity as maximum wave-orbital
 190 velocity (u_{wM}). It is clear from Figure 3 that τ_{cw} is highly sensitive to the magnitude of
 191 the wave-orbital velocity chosen. The estimate of τ_{cw} for the maximum wave-orbital
 192 velocity, u_{wM} is about a factor of 2 larger than that for the root-mean-square
 193 velocity, $\sqrt{2}\sigma_u$.

194

195 **4. Summary and Discussion**

196 During the passage of Ivan, the bottom stress, energy dissipation rate, and
 197 resuspension of sediment were controlled primarily by dissipative processes induced by
 198 surface waves, whereas during the relaxation stage of Ivan, wave-orbital velocities
 199 became small and the bottom stress, dissipation rates, and resuspension of sediments
 200 were determined by the observed bottom current. The strongest stresses occurred to the
 201 right of the storm path. Bottom stresses exceeded critical levels on the outer continental
 202 shelf at depths as large as 90 m. Bottom damaging stresses occurred during the passage of

203 the storm and for about a week after storm passage. The bottom stress associated with the
204 ocean currents peaked at wind speeds of about 30 m s^{-1} and then may actually have
205 decreased as the wind speed increased, paralleling the surface drag reduction at high
206 winds [Jarosz *et al.*, 2007; Powell *et al.*, 2003]. The current-wave induced bottom stress
207 continued to increase as the wind speed increased, and was about 15%-20% of the
208 surface wind stress, where $u_w = \sqrt{2}\sigma_u$. The maximum stress based on the maximum wave-
209 orbital velocity was found to be as large as 40% of the surface wind stress. On average,
210 bottom stresses induced by ocean bottom-current interactions with ocean surface-wave-
211 related currents were a factor of 4 larger than the bottom stresses attributed to ocean
212 bottom currents alone.

213 The occurrence of critical bottom stresses on the outer shelf must be considered in
214 the engineering design of structures on the bottom and in determining where pipe lines
215 should be buried and not just laid on top of the ocean floor. Some climate models have
216 predicted an increase in the frequency of intense hurricanes as the climate warms [Bender
217 *et al.*, 2010]. The cumulative effects of enhanced bottom stresses and associated transport
218 of large quantities of sediment along the shelf edge could be a trigger mechanism for a
219 slumping or mass-wasting event at the shelf break.

220

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300

301 **Figure Captions**

302 **Figure 1.** Path of Ivan (dashed red line). The crosses denote the center of Ivan for the
 303 time marked in red. Mooring locations at 60-m and 90-m isobaths are marked in solid
 304 circles (M1-M6). The yellow triangle is the National Data Buoy Center (NDBC) buoy
 305 42040. Contours indicate bathymetry in meters. The translation speed of the hurricane
 306 was about 6 m s^{-1} .

307

308 **Figure 2.** Observations at M3 (left panels) and M6 (right panels) mooring locations. Time
 309 series of (a, f) 10-m wind speed U_{10} in m s^{-1} and radial distance to Ivan's center (black) in
 310 kilometers [Powell *et al.*, 1998]. (b, g) Root-mean-square estimate of wave-orbital speed,
 311 $u_w = \sqrt{2}\sigma_u$ (red dots), where σ_u is the standard deviation of orbital-velocity fluctuations
 312 based on the 512-s burst-sampling record of pressure, and ADCP currents u_c (black line)

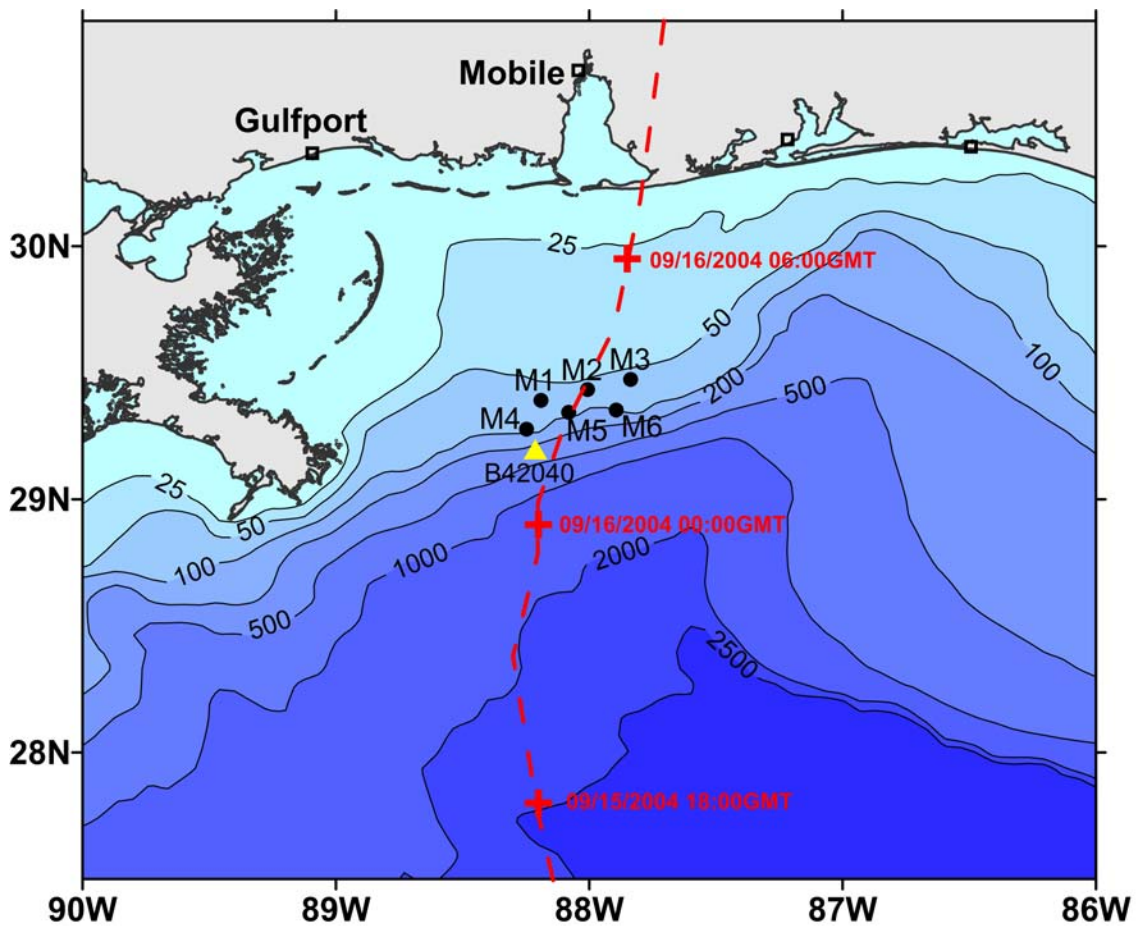
313 at 0.25 m above the bottom. Units are in m s^{-1} . (c, h) Combined current-wave stress τ_{cw}
 314 (red dots) and current stress τ_c (black line) in N m^{-2} . (d, i) Estimated current-wave
 315 dissipation rate ε_{cw} (red dots) and current dissipation ε_c (black line) at 0.25 m above the
 316 bottom. Units are in W kg^{-1} . (e, j) ADCP echo intensity as function of height (H) in
 317 meters from the bottom

318

319 **Figure 3.** Mean stress at 0.25 m above the bottom vs wind speed U_{10} during the growing
 320 stage of Ivan. The bottom stress based on mean current (u_c) is the solid black line with
 321 diamonds. The combined current-wave stress τ_{cw} based on bottom orbital-velocities:
 322 $u_w = \sqrt{2}\sigma_u$, significant wave-orbital velocity $u_{ws} = 2\sigma_u$, and maximum orbital-velocity,
 323 u_{wm} are marked in dots (red), squares (blue), and open circles (magenta), respectively.
 324 Error bars are given by the thin red vertical lines and denote maximum and minimum
 325 values of τ_{cw} for $u_w = \sqrt{2}\sigma_u$. The dashed black and red lines are not mathematical fits to
 326 the data, but represent $\tau = 10^{-4}U_{10}^2$ (black), $\tau = 4 \times 10^{-4}U_{10}^2$ (red).

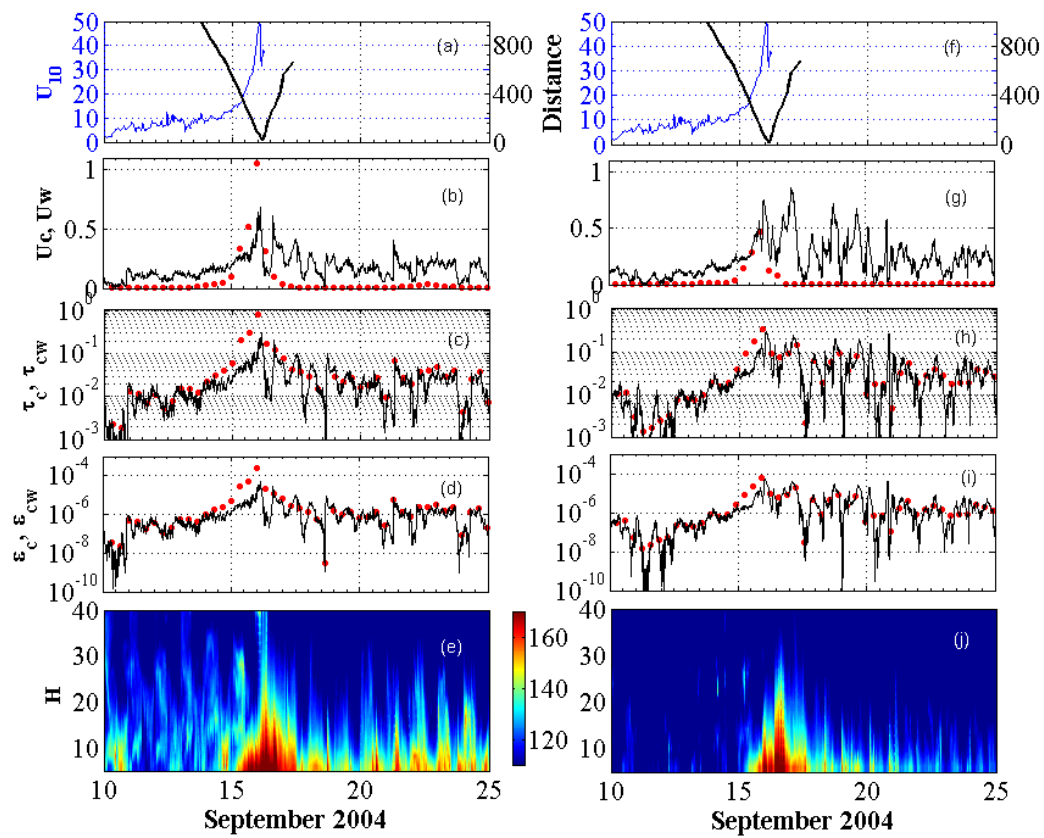
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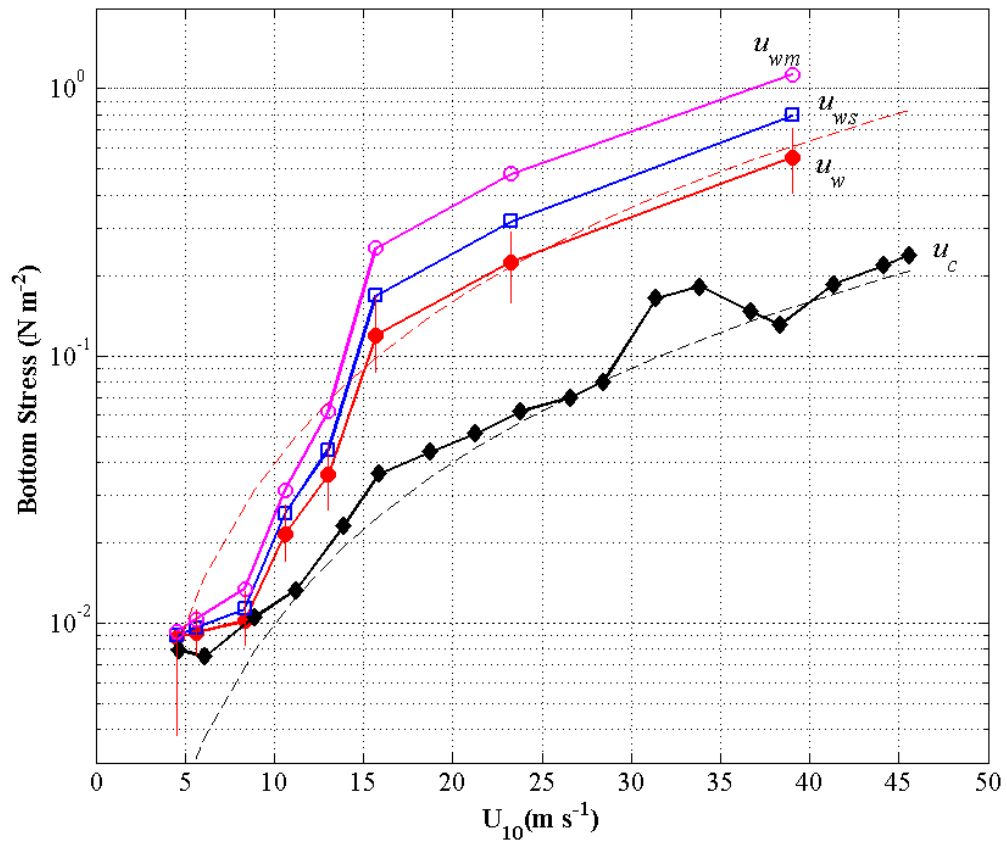
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Figure 1



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Figure 2



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Figure 3